

07-30-01

A

Please type a plus sign (+) inside this box ☐PTO/SB/05 (03-01)
Approved for use through 10/31/2002 OMB 0651-0032U S Patent and Trademark Office, U S DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control numberUTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

First Inventor

CHARLES M. HATTON

Title

Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☐ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 39 139]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 1]
5. Oath or Declaration [Total Pages]
 - a. ☒ Newly executed (original or copy)
Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - b. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b)
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: _____

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. _____ / _____

Prior application information

Examiner _____

Group Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label from)

or ☒ Correspondence address below

Name

CHARLES MALCOLM HATTON

Address

1493 SANDY HOOK

City

WHEATON

State

IL

Zip Code

60187

Country

USA

Telephone

630-682-4607

Fax

—

Name (Print/Type)

CHARLES M. HATTON

Registration No. (Attorney/Agent)

Signature

Charles M. Hatton

Date

7/26/2001

Burden Hour Statement This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U S Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

The PTO did not receive the following listed item(s): first card

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT

(\$) 394.00

Complete if Known

Application Number
Filing Date 7/27/2001
First Named Inventor CHARLES M. HATTON
Examiner Name
Group Art Unit
Attorney Docket No.

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to

Deposit Account Number
Deposit Account Name

☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code Fee Code Fee Description

101 710 201 355 Utility filing fee
106 320 206 160 Design filing fee
107 490 207 245 Plant filing fee
108 710 208 355 Reissue filing fee
114 150 214 75 Provisional filing fee

Fee Paid

0
160.00
0
0
0

SUBTOTAL (1) (\$) 160.00

2. EXTRA CLAIM FEES

Total Claims 31
Independent Claims
Multiple Dependent
Extra Claims 11
Fee from below 9
Fee Paid 99.00
Fee Paid 135.00

Large Entity Small Entity

Fee Code Fee Code Fee Description

103 18 203 9 Claims in excess of 20
102 80 202 40 Independent claims in excess of 3
104 270 204 135 Multiple dependent claim, if not paid
109 80 209 40 ** Reissue independent claims over original patent
110 18 210 9 ** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 234.00

**or number previously paid, if greater, For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
105 130 205 65		Surcharge - late filing fee or oath	1
127 50 227 25		Surcharge - late provisional filing fee or cover sheet	1
139 130 139 130		Non-English specification	1
147 2,520 147 2,520		For filing a request for ex parte reexamination	1
112 920* 112 920*		Requesting publication of SIR prior to Examiner action	1
113 1,840* 113 1,840*		Requesting publication of SIR after Examiner action	1
115 110 215 55		Extension for reply within first month	1
116 390 216 195		Extension for reply within second month	1
117 890 217 445		Extension for reply within third month	1
118 1,390 218 695		Extension for reply within fourth month	1
128 1,890 228 945		Extension for reply within fifth month	1
119 310 219 155		Notice of Appeal	1
120 310 220 155		Filing a brief in support of an appeal	1
121 270 221 135		Request for oral hearing	1
138 1,510 138 1,510		Petition to institute a public use proceeding	1
140 110 240 55		Petition to revive - unavoidable	1
141 1,240 241 620		Petition to revive - unintentional	1
142 1,240 242 620		Utility issue fee (or reissue)	1
143 440 243 220		Design issue fee	1
144 600 244 300		Plant issue fee	1
122 130 122 130		Petitions to the Commissioner	1
123 50 123 50		Processing fee under 37 CFR 1.17(q)	1
126 180 126 180		Submission of Information Disclosure Stmt	1
581 40 581 40		Recording each patent assignment per property (times number of properties)	1
146 710 246 355		Filing a submission after final rejection (37 CFR § 1.129(a))	1
149 710 249 355		For each additional invention to be examined (37 CFR § 1.129(b))	1
179 710 279 355		Request for Continued Examination (RCE)	1
169 900 169 900		Request for expedited examination of a design application	1

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0

SUBMITTED BY

Name (Print/Type) CHARLES M. HATTON
Signature Charles M. Hatton
Registration No. (Attorney/Agent)
Telephone 630-682-4607
Date 7/26/2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Assistant Commissioner for Patents, Washington, DC 20231.

1493 SandyHook
Wheaton, IL 60187
July 26, 2001

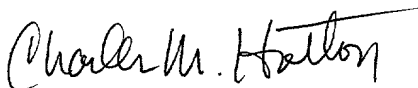
Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

Dear Assistant Commissioner for Patents:

I am submitting the patent application entitled "Computers that communicate in the English Language and complete work assignments by reading English Language sentences."

I am also claiming priority to the provisional patent filed Charles M. Hatton on July 29, 2000. This patent application is being submitted via U.S. Post Office overnight express mail.

Sincerely,

A handwritten signature in cursive script that reads "Charles M. Hatton". The signature is written in dark ink and is positioned above the printed name.

Charles M. Hatton